

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): BELL, et al.

Group Art Unit: TBA

Serial No.: 10/019,386

Examiner: TBA

Filed: December 28, 2001

For: DNA BINDING PROTEIN AND SEQUENCE AS INSULATORS HAVING SPECIFIC ENANCER BLOCKING ACTIVITY FOR REGULATION OF GENE EXPRESSION

CERTIFICATE OF EXPRESS MAILING

Commissioner for Patents
Washington, DC 20231

Express Mail Label No.: **EV140807274US**

Date of Deposit: **October 4, 2002**

Sir:

I hereby certify that the following attached paper(s) and/or fee:

- 1) Copy of Notice to File Missing Requirements;
- 2) Response to Notice to Filing Requirements;
- 3) Executed Combined Declaration and Power of Attorney (in counterparts);
- 4) Five Month Extension of Time;
- 5) Statement Under 37 C.F.R. § 1.821(f);
- 6) Sequence Listing Disk;
- 7) Sequence Listing paper form 24 pages;
- 8) Check for \$2090.00 ; and
- 9) Return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, DC 20231.

10/10/2002 LLANDGRA 00000018 10019386

02 FC:154

130.00 OP

Dated: October 4, 2002

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

By:

Joan E. Federici
Joan E. Federici
Legal Assistant

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): BELL et al

Group Art Unit: TBA

Serial No.: 10/019,386

Examiner: TBA

Filed: December 28, 2001

For: DNA BINDING PROTEIN AND SEQUENCE AS INSULATORS HAVING
SPECIFIC ENHANCER BLOCKING ACTIVITY FOR REGULATION OF GENE
EXPRESSION

RESPONSE TO "NOTICE TO FILE MISSING REQUIREMENTS UNDER 35 U.S.C. 371"

Commissioner for Patents
Washington, DC 20231

Sir:

In response to the NOTICE TO FILE REQUIREMENTS UNDER 35 U.S.C. 371
dated March 8, 2002, Applicant(s) submit(s) herewith the following documents for
appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notice to File Missing Parts
- ☒ Five Month Extension of Time and appropriate fee
- ☒ Sequence Listing Statement Under 37 CFR 1.821(f), computer readable disk and
paper copy (24 pages)
- ☒ Executed Declaration (in counterparts)
- ☐ Application Filing Fees
- ☒ Check \$2090.00 for late filing surcharge and 5 month extension.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be
required by this paper, or credit any overpayment to Deposit Account No. 13-4500,
Order No. 2026-4297US1. A DUPLICATE COPY OF THIS SHEET IS
ATTACHED.

10/10/2002 LLANDGRA 00000018 10019386

01 FC:128

1960.00 DP

Dated: October 4, 2002

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

By: William S. Feiler

William S. Feiler

Registration No. 26,728

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,386	Adam C Bell	2026-4297US

INTERNATIONAL APPLICATION NO.
PCT/US00/10509

I.A. FILING DATE	PRIORITY DATE
04/19/2000	06/30/1999

Morgan & Finnegan
345 Park Avenue
New York, NY 10154-0053

CONFIRMATION NO. 1384

371 FORMALITIES LETTER



OC000000007586207

Date Mailed: 03/08/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

CASE 02026-4297US ATTY KAM
DUE DATE May 8, 2002
STATUTORY DATE October 8, 2002
BY J.M.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

301-490-1898

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 305-2936

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,386	PCT/US00/10509	2026-4297US